



UNITED STATES
PATENT AND
TRADEMARK OFFICE

5152-36
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DECEMBER 27, 2001

Chief Financial Officer and Chief Administrative Officer
Washington, DC 20231
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NIXON & VANDERHYE P.C.
JEFFRY H. NELSON
1100 NORTH GLEBE ROAD
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ARLINGTON, VA 22201



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UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/11/2001

REEL/FRAME: 012259/0659
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS)

ASSIGNOR:
HEIKKILA, MARTTI

DOC DATE: 09/28/2001

ASSIGNOR:
KOVASIN, KARI

DOC DATE: 09/17/2001

ASSIGNOR:
ROBERG, KARL-ERIK

DOC DATE: 09/26/2001

ASSIGNOR:
TOUKONUMMI, OLAVI

DOC DATE: 09/26/2001

ASSIGNEE:
SULZER PUMPEN AG
ZURCHERSTRASSE 12
WINTERTHUR, SWITZERLAND CH-8401

SERIAL NUMBER: 09913331
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

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012259/0659 PAGE 2

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
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10-23-2001

U.S. Department of Commerce
Patent and Trademark Office



II

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Our Ref.: 3952-36

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Martti HEIKKILÄ
Kari KOVASIN
Karl-Erik RÖBERG
Olavi TOUKONUMMI

10-11-01

2. Name and address of receiving party(ies):

Name: Sulzer Pumpen AG
Internal Address:
Street Address: Zürcherstrasse 12

City: Winterthur
State/Country: Switzerland
Zip: CH-8401

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Assignment ☐ Change of Name
☐ Other

Execution Date: Sept. 28, 2001, Sept. 17, 2001, Sept. 26,
2001 and Sept. 26, 2001

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

(1) 09/913,331
(2)
(3)

B. Patent No(s).

(1)
(2)
(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffry H. Nelson

Internal Address:

Street Address: Nixon & Vanderhye P.C.
1100 North Glebe Road
8th Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

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9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffry H. Nelson

Name of Person Signing
Reg. No. 30,481

Signature

October 11, 2001

Date

Total number of pages including original cover sheet, attachments, and document: [5]

Adjusted date: 10/17/2001
10/15/2001 FIBERIE 00000004 09929287
04 FC:581

10/17/2001 FIBERIE 00000001 09913331

04 FC:581

40.00 DP

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Konrad Roman WEEBER and Richard Nils DAWSON of 785 Riverview Road, Rexford, New York 12148, and 40 Stone Road, Voorheesville, New York 12186, respectively, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in REVERSE FLOW STATOR VENTILATION SYSTEM FOR SUPERCONDUCTING SYNCHRONOUS MACHINE invented by us, which was filed on August 15, 2001 as Serial No. 09/929,287 and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

10/9/2001

Date

Konrad Roman Weeber

Konrad Roman WEEBER

Witnessed by:

Name:

Ernest J. G. [Signature]

Date:

10/9/2001

Name:

[Signature]

Date:

10/9/2001

STATE OF New York)

ss):

COUNTY OF Schenectady)

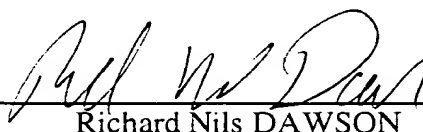
This ____ day of _____, 2001, before me personally came the above-named, Konrad Roman WEEBER, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

My Commission Expires: _____

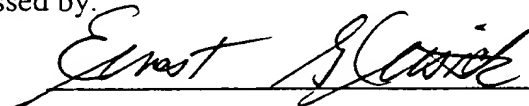
Oct 9 2001

Date


Richard Nils DAWSON

Witnessed by:

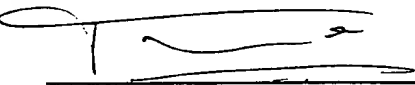
Name:



Date:

10/9/2001

Name:



Date:

10/9/2001

STATE OF New York)

ss):

COUNTY OF Schenectady)

This ____ day of _____, 2001, before me personally came the above-named, Richard Nils DAWSON, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

My Commission Expires: _____